

PTO/SB/02a/b (08-03)

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Substitute for Form 1449A/B/PTO			Complete If Known		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)			Application Number	10/828,081	
			Filing Date	April 15, 2004	
			First Named Inventor	Norihiro Kimoto	
			Art Unit	1652	
			Examiner Name	Not Yet Assigned	
Sheet	1	of	2	Attorney Docket Number	SHZ-021

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
KG	A1	US 5508481 A	04-16-1998	Ito et al.	
KG	A2	US 5629200 A	05-13-1997	Furukawa et al.	
KG	A3	US 5679557 A	10-21-1997	Ito et al.	
KG	A4	US 5811293 A	08-22-1998	Furukawa et al.	
KG	A5	US 5902800 A	05-11-1999	Ito et al.	
KG	A6	US 6114582 A	08-05-2000	Furukawa et al.	
KG	A7	US 6248573 B1	06-19-2001	Ito et al.	
KG	A8	US 2002/046233 A1	04-18-2002	Hershberger et al.	
KG	A9	US 6528688 B1	03-04-2003	Akamatsu et al.	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ² -Number ³ -Kind Code ⁴ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁵
KG	A12	EP 0 627 397 A1 ✓	12-07-1994	DAICEL CHEMICAL INDUSTRIES CO., LTD.		
KG	A13	JP 8-89261 A ✓	04-09-1996	KANEGAFUCHI CHEM IND CO LTD		Abstr.
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KG	A19	AU 1302998 A ✓	06-24-1999	LILLY CO ELI		
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	A25	DE 69431373 D1	10-17-2002	DAICEL CHEM		
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	A27	DE 69432679 T2	02-19-2004	DAICEL CHEM		

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁵
Examiner Signature	Kagami G. J.		Date Considered 01/5/06

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KG	B1	Costello CA, et al. "Purification, characterization, cDNA cloning and expression of a novel ketoreductase from <i>Zygosaccharomyces rouxi</i> ." <i>Eur J Biochem.</i> 2000 Sep;267(17):5493-501.	
KG	B2	Watanabe Y, et al. "Cloning and sequencing of phospholipase B gene from the yeast <i>Torulaspora delbrueckii</i> ." <i>FEMS Microbial Lett.</i> 1994 Nov 15;124(1):29-34.	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Examiner Signature	<i>Kayla G. Jones</i>	Date Considered	01/05/06
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